

Youngstown Metropolitan Housing Authority PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE
WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Youngstown Metropolitan Housing Authority

PHA Number: OH002

PHA Fiscal Year Beginning: (mm/yyyy) 07/2000

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☒ The PHA's mission is:

The Youngstown Metropolitan Housing Authority is a housing organization dedicated to the creation and managing healthy and enriching housing environments for its residents. YMHA seeks to accomplish this by:

- Encouraging economic, cultural and racial diversity and ensuring equal opportunity within its housing developments;**
- Managing up-to-date, attractive and well maintained rental housing for qualified families in Mahoning County;**
- Utilizing rental assistance programs to create additional decent, safe and affordable housing opportunities in Mahoning County;**
- Assisting senior citizens with secure and independent living environments in Mahoning County at an affordable price;**
- Promoting self-sufficiency and asset development of families and individuals;**
- Taking the lead in innovative resident services focusing on the advancement of employment and education including youth enrichment, child care, and home ownership programs.**

YMHA believes in enhancing the quality of life and economic viability of its residents by providing attractive, secure, affordable housing and innovative programs designed to enable residents to achieve a higher level of economic and social self-sufficiency.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers: **As NOFA 's are published**
 - ☒ Reduce public housing vacancies: **Reduce by 10% each year**
 - ☒ Leverage private or other public funds to create additional housing opportunities: **Leverage funds to support HOPE VI revitalization**
 - ☒ Acquire or build units or developments **Acquire and develop units by 06-30-04**
 - ☐ Other (list below)
- ☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score)
Improve score by 3% each year
 - ☒ Improve voucher management: (SEMAP score)
Obtain high performer score by 06/30/01.
 - ☒ Increase customer satisfaction: **Obtain maximum score on PHAS**
 - ☒ Concentrate on efforts to improve specific management functions: **Pass unit turn around by 06-30-01**
 - ☒ Renovate or modernize public housing units: **Convert approximately 40 smaller units into 20 larger units by 06-30-04**
 - ☒ Demolish or dispose of obsolete public housing: **Dispose of 14 units by 06-30-04**
 - ☒ Provide replacement public housing: **By 06-30-04**
 - ☒ Provide replacement vouchers: **If available from HUD**
 - ☒ Other:
 - **According to regulations and policies, provide for the physical inspection of all housing units and conduct maintenance work as identified during annual inspections or otherwise identified in order to maintain all units in standard conditions. On-going**

- **Maintain occupancy and ensure the pursuit and enforcement of collections procedures at a level to achieve the status of “high performer” according to the PHAS system of assessment. By 6-30-01**

☒ PHA Goal: Increase assisted housing choices

Objectives:

- ☒ Provide voucher mobility counseling: **As needed, at briefings**
- ☐ Conduct outreach efforts to potential voucher landlords
- ☒ Increase voucher payment standards **As needed**
- ☒ Implement voucher homeownership program: **Study feasibility by 06-30-01**
- ☒ Implement public housing or other homeownership programs:
PHA currently administers a homeownership program. There are 12 on-going families in the homeownership program. In the future the PHA will build 10 homes which will be a step up to future homeownership.
- ☒ Implement public housing site-based waiting lists: **Study feasibility by 06-30-01.**
- ☐ Convert public housing to vouchers:
- ☒ Other:
Provide staffing, equipment, insurance, training, facilities and related items associated with the administration and operation of housing previously developed under the 1937 Housing Act. On- going

HUD Strategic Goal: Improve community quality of life and economic vitality

☒ PHA Goal: Provide an improved living environment

Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☒ Implement public housing security improvements: **Implement security camera systems**
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☒ Other:
 - **Develop improved screening system by 06-30-01**
 - **The PHA, as needed, may determine the profit/loss ratio's of the programs administered by the PHA to determine if anticipated increases in revenue or decreases in expenses are required.**

- Provide increased security patrols
- Develop additional resident transportation system for PHA activities.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- ☒ PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- ☐ Increase the number and percentage of employed persons in assisted families:
- ☒ Provide or attract supportive services to improve assistance recipients' employability: **The PHA has implemented the PHDEP, ED/SS, Elderly Services and FSS Coordinator programs and will apply for additional funding the future.**
- **PHDEP – Address the problem of drug related criminal activity at targeted developments through additional protective services and onsite activities and services.**
 - **ED/SS – Provides economic development activities that are essential to facility economic uplift and provide access to the skills and resources necessary to achieve self-sufficiency and independence through education, training, employment, and entrepreneurial opportunities.**
 - **Elderly Services Coordinators – Assures that elderly/disabled families are linked to needed supportive services to maintain independent living.**
 - **FSS Coordinator – assures that program participants are linked to the supportive services they need to achieve self-sufficiency.**
- ☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities. **The PHA has hired an Elderly Service Coordinator to assist elderly/disabled residents with their supportive service needs.**
- ☒ Other:
- **Provide additional transportation alternatives to enable increase residential participation**
 - **Study Feasibility of Homeownership at the Westlake Community By 6-30-02**
 - **Develop step-up rental program at the Kimmel Brook Community by 06-30-02.**

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- ☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: **See Admissions and Occupancy Policy and Section 8 Administrative Plan**
 - ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: **To ensure accessible housing, the PHA has converted multifamily dwelling units for occupancy to meet the standards as established by the Fair Housing Act and Americans Disabilities Act. These modifications include building entrances accessible to wheelchairs, accessible light switches, electrical outlets, reinforced bathroom walls for grab bars, kitchens and bathrooms that allow wheelchairs to be maneuvered, etc.**
 - ☐ Other:

Other PHA Goals and Objectives: (list below)

- ☒ PHA Goal: Make staff, residents, and board members knowledgeable regarding new housing requirements.
- ☒ Develop written in-house procedures for Section 8 Program by 06-30-01.
 - ☒ Identify and secure available training opportunities for staff and the board as needed.
 - ☒ Ensure review of existing policies and procedures to incorporate all necessary requirements and if warranted, develop written recommendations for policy revisions to the Board of Commissioners.
- ☒ PHA Goal: To develop and maintain a comprehensive database consisting of demographic and housing data for the PHA jurisdiction.
- ☒ The Executive Director or designee may appoint staff members to gather and input data, and maintain information by county, census data, community profiles, participant and applicant data.
 - ☒ Appointed staff members may update data as needed or on an annual basis. The data may be provided to the Annual Housing Plan Committee, Executive Director, or housing board to assist with the development of future plans and applications for funding and evaluation.
- Measurement of Objectives**

Objectives will be measured by the completion of charts or other requested statistical information relative to the agency plan (s) or other data requested.

- ☒ PHA Goal: To ensure that resources are managed in a manner which generates a positive cash flow and provides for an accumulation of income over expenses and maintains an adequate reserve account for future housing needs for low income persons.
- ☒ Objective: Obtain assistance in providing written financial management and investment of funds procedures that comply with applicable regulatory requirements to be approved by the Board of Commissioners. **By 06-30-01**

Annual PHA Plan PHA Fiscal Year 2000

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

- ☐ **High Performing PHA**
- ☐ **Small Agency (<250 Public Housing Units)**
- ☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Youngstown Metropolitan Housing Authority has prepared this Annual PHA Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

The purpose of the Annual Plan is to provide a framework for local accountability and an easily identifiable source by which public housing residents, participants in the tenant-based assistance program and other members of the public may locate basic PHA policies, rules and requirements related to the operations, programs and services of the agency.

The Mission Statement and the Goals and Objectives were based on information contained in our jurisdiction's Consolidated Plan and will assure that our residents will receive the best customer service.

Excellent customer service and fulfillment of the Mission Statement and Goals and Objectives is ensured by implementation of a series of policies that are on display with this Plan. The Admissions and Occupancy Policy and Section 8 Administrative Plan are the two primary policies on display. These important documents cover the public housing tenant selection and assignment plan, outreach services, PHA's responsibility to Section 8 owners/landlords, grievance procedures, etc.

The most important challenges to be met by the Youngstown Metropolitan Housing Authority during FY 2000 include:

- Reduce drug and alcohol abuse and increase safety and security through the Public Housing Drug Elimination Program;
- Utilize the HOPE VI Revitalized Program to improve the Westlake neighborhood;
- Preserve and improve the public housing stock through the Capital Funds activities, including modernization of units;
- Involve the public housing residents and the Section 8 participants through the Annual Plan Resident Advisory Board.
- Train staff and commissioners to fully understand and take advantage of opportunities in the new law and regulations to better serve our residents and the community; and
- Identify, develop and leverage services to enable low-income families to become self-sufficient.

In closing, this Annual PHA Plan exemplifies the commitment of the Youngstown Metropolitan Housing Authority to meet the housing needs of the full range of low-income residents. The Housing Authority, in partnership with agencies from all levels of government, the business community, non-profit community groups, and residents will use this plan as a road map to reach the “higher quality of life” destination.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☒ Admissions Policy for Deconcentration (**OH002a01**)
- ☒ FY 2000 Capital Fund Program Annual Statement (**OH002b01**)
- ☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- ☐ PHA Management Organizational Chart
- ☒ FY 2000 Capital Fund Program 5 Year Action Plan (**OH002c01**)
- ☒ Public Housing Drug Elimination Program (PHDEP) Plan (**OH002d01**)
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☒ Other (List below, providing each attachment name)
Substantial Deviation and Significant Amendment or Modification (**OH002e01**)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
ü	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
ü	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
N/A	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
ü	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
ü	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
ü	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
ü	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
ü	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
ü	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
ü	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
ü	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
ü	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
ü	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
ü	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
ü	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
ü	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
ü	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
ü	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
ü	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
ü	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
ü	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
ü	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
ü	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
ü	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	8887	4	3	4	3	4	4
Income >30% but <=50% of AMI	4722	4	3	4	3	4	4
Income >50% but <80% of AMI	5067	3	3	4	2	4	4
Elderly	6615	3	3	4	3	3	3
Families with Disabilities	8281	3	3	4	2	3	3
Caucasian	20,647	4	3	4	3	4	4
African/American	6,720	4	3	4	3	4	4
Hispanic	606	4	3	4	3	4	4
Asian	126	4	3	4	3	4	4

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s
Indicate year: **1995 and 1998**
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	117		452
Extremely low income <=30% AMI	109	93%	
Very low income (>30% but <=50% AMI)	5	4%	
Low income (>50% but <80% AMI)	3	3%	
Families with children	26	22%	
Elderly families	13	11%	
Families with Disabilities	18	15%	
Caucasian	31	26%	
African-American	86	73%	
Hispanic	5	4%	
other			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	91	78%	91

Housing Needs of Families on the Waiting List			
2 BR	16	14%	16
3 BR	8	7%	8
4 BR	2	2%	2
5 BR	0		0
5+ BR	0		0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? NA Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) <input checked="" type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	401		0
Extremely low income <=30% AMI	397	99	
Very low income (>30% but <=50% AMI)	4	1	
Low income (>50% but <80% AMI)	0	0	
Families with children	365	91	
Elderly families	8	2	
Families with Disabilities	8	2	
Caucasian	8	2	
African-American	393	98	
Hispanic	4	1	
Other			

Housing Needs of Families on the Waiting List			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	N/A	N/A	N/A
2 BR	N/A	N/A	N/A
3 BR	N/A	N/A	N/A
4 BR	N/A	N/A	N/A
5 BR	N/A	N/A	N/A
5+ BR	N/A	N/A	N/A
<p>Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes</p> <p>If yes:</p> <p>How long has it been closed (# of months)? 37</p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Family Unification Program</p>			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☒ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction

- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☒ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☒ Other: (list below) **Employ admissions preferences for Public Housing aimed at families who are working.**

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☒ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☒ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☐ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable N/A

- ☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☒ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☒ Results of consultation with local or state government
- ☒ Results of consultation with residents and the Resident Advisory Board
- ☐ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	4,420,625.00	
b) Public Housing Capital Fund	4,108,866.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition	1,503,880.00	
e) Annual Contributions for Section 8 Tenant-Based Assistance	5,206,255.00	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds) – includes TA funds	474,000.00	
g) Resident Opportunity and Self-Sufficiency Grants	35,000.00	
h) Community Development Block Grant (applied; not yet approved/awarded)	518,125.00	
i) HOME		
Other Federal Grants (list below)		
Service Coordinator	50,000.00	Supportive Services
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Economic Development & Supportive Services	500,000.00	Economic development
Drug Elimination Grant (1999)	201,131.00	Public housing safety/security
New Development 2-20	8,000.00	New Construction
Comprehensive Grant – FY 1998	649,700.00	Public housing Capital Improvements
Comprehensive Grant – FY 1999	1,407,000.00	Public housing Capital Improvements
MROP	1,656,650.00	Kimmel Brook rehab.
New Development 2-22	986,364.00	New Construction
Sub-total	21,725,596.00	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
3. Public Housing Dwelling Rental Income	1,481,656.00	Public housing operations
4. Other income (list below)	164,430.00	
Non-Dwelling Rent 3,440.00		Public housing operations
Excess utilities 12,750.00		Public housing operations
Interest on General Funds Investments 48,240.00		Public housing operations
Other income: legal fees, maintenance 100,000.00		Public housing operations
Charges to tenants, late fees, NSF check		
Charges, inc.		
4. Non-federal sources (list below)		
Sub-total	1,646,086.00	
Total resources	23,371,682.00	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☐ When families are within a certain number of being offered a unit: (state number)
- ☐ When families are within a certain time of being offered a unit: (state time)
- ☒ Other: **At initial application**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☐ Other (describe)

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☒ Site-based waiting lists (**if feasible**)
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
- ☐ PHA development site management office
- ☒ Other: **Home visit if needed.**

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?- **Up to four lists.**

2. ☒ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists? **Kimmel Brook Homes, Struthers Manor, Michael J. Kirwan Homes, & Victory Estates**

3. ☐ Yes ☒ No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☒ PHA main administrative office
- ☐ All PHA development management offices
- ☒ Management offices at developments with site-based waiting lists
- ☐ At the development to which they would like to apply
- ☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☒ One
- ☐ Two
- ☐ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA: **n/a**

(4) Admissions Preferences

a. Income targeting:

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies
- ☐ Overhoused
- ☐ Underhoused
- ☒ Medical justification
- ☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ☐ Resident choice: (state circumstances below)
- ☒ Other: - Transfer to scattered site single family homes based on tenant history.

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences: **N/A**

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☒ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☒ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences: **N/A**

Involuntary Displacement (Disaster, Government Action, Action of Housing
Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☒ 1 Working families and those unable to work because of age or disability
- ☒ 1 Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☒ 1 Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☐ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☐ At an annual reexamination and lease renewal
- ☒ Any time family composition changes
- ☐ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing (Not required per PIH Notice 99-51)

a. ☐ Yes ☐ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☐ Yes ☐ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- ☐ Adoption of site-based waiting lists
If selected, list targeted developments below:
- ☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- ☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- ☐ Other (list policies and developments targeted below)

d. ☐ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☐ Additional affirmative marketing
- ☐ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments

- ☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☐ Other (list below)
- f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)
- ☐ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:
- g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)
- ☐ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- ☒ Criminal or drug-related activity only to the extent required by law or regulation
- ☐ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)
- ☐ Other (list below)
- b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- ☐ Criminal or drug-related activity
- ☒ Other: **Name and address of current and previous landlord**

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- ☒ None
☐ Federal public housing
☐ Federal moderate rehabilitation
☐ Federal project-based certificate program
☐ Other federal or local program (list below)

- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- ☐ PHA main administrative office
☒ Other: (list below)

As directed when waiting list is open.

(3) Search Time

- a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

- **Illness**
- **Try to find housing, but cannot locate**
- **Medical problems**
- **Disabled persons**
- **Attempting to locate housing in a preferred area**

(4) Admissions Preferences

- a. Income targeting

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no,

skip to subcomponent (5) **Special purpose Section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences) **NA ????????**

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below) **Families and elderly over the age of 62 have priority over singles that are not elderly, disabled or displaced.**

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences **N/A**

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒ 1 Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ 1 Other preference(s) (list below) **Families and elderly over the age of 62 have priority over singles that are not elderly, disabled or displaced.**

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☐ Date and time of application
- ☒ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one) **n/a**

- ☐ This preference has previously been reviewed and approved by HUD
- ☒ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- ☒ The Section 8 Administrative Plan
- ☒ Briefing sessions and written materials
- ☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- ☒ Through published notices
- ☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- ☒ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☐ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
☐ \$1-\$25
☒ \$26-\$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below: **N/A**
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- ☒ For the earned income of a previously unemployed household member
(Statutory, implement when rule is final)
- ☐ For increases in earned income
- ☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- ☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- ☐ For household heads
- ☐ For other family members
- ☐ For transportation expenses
- ☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
- ☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
- ☐ Yes for all developments
- ☒ Yes but only for some developments
- ☐ No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
- ☐ For all developments
- ☒ For all general occupancy developments (not elderly or disabled or elderly only)
- ☐ For specified general occupancy developments
- ☐ For certain parts of developments; e.g., the high-rise portion
- ☐ For certain size units; e.g., larger bedroom sizes
- ☐ Other (list below)
3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)
- ☐ Market comparability study
- ☐ Fair market rents (FMR)

- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☒ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☐ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- ☒ Other **At annual recertification for income increase. Any time family composition changes. Families must also report any increase in income when the last action was a decrease in the family(s) share of rent due to a decrease in income.**

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year? (After the regulation is final, a feasibility study will be made.)

(After the regulation is final, a feasibility study will be made).

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☒ The section 8 rent reasonableness study of comparable housing
- ☒ Survey of rents listed in local newspaper
- ☒ Survey of similar unassisted units in the neighborhood
- ☐ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☐ 100% of FMR
- ☒ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?
(select all that apply) **N/A**

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☒ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☒ Reflects market or submarket
- ☒ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☒ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☒ Rent burdens of assisted families
- ☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
☐ \$1-\$25
☒ \$26-\$50

b. ☒ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

- SRO/ Homeless participants are exempt.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.
- ☒ A brief description of the management structure and organization of the PHA follows:
The Youngstown Metropolitan Housing Authority utilizes a basic vertical organizational structure. Reporting to the five member Board of Commissioners is the Executive Director. Five directors report to the Executive Director, with one director empowered to act on the Executive Director's behalf during his absence. The directors oversee five divisions, including Finance, Human Resources, Operations, Development, and Section 8.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2003	22%
Section 8 Vouchers	460	2%
Section 8 Certificates	940	1%
Section 8 Mod Rehab	NA	NA
Special Purpose Section 8 Certificates/Vouchers (list individually) Family Unification	26	12
Public Housing Drug Elimination Program (PHDEP)	1737	380
Other Federal Programs(list individually)		
Section 8 SRO		

Mod Rehab	60	48
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C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management:

- **Work Order System**
- **Pest Eradication Policy**
- **Maintenance Plan**
- **Uniform Inspection System**
- **Admissions and Occupancy Policy**
- **Fair Housing Policy**
- **Grievance Procedures**
- **Tenant Selection and Assignment Plan**
- **Community Service Plan**
- **Handicapped Policy**
- **Termination and Eviction**
- **Transfer and Transfer Waiting List**
- **Resident Initiative**
- **Section 3 Plan**
- **Pet Policy for Families**
- **Pet Policy for Elderly**

(2) Section 8 Management:

- **Section 8 Administrative Plan**
- **Section 8 and SEMAP Procedures**

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6.
Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- ☒ PHA main administrative office
 - ☒ PHA development management offices
 - ☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- ☒ PHA main administrative office
 - ☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) **OH002b01**

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) **OH002c01**

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- ☐ Revitalization Plan under development
- ☐ Revitalization Plan submitted, pending approval
- ☐ Revitalization Plan approved
- ☐ Activities pursuant to an approved Revitalization Plan underway

- ☒ Yes ☐ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below: **Westlake**

- ☒ Yes ☐ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below: **URD (Urban Revitalization Development) – Hope VI**

- ☒ Yes ☐ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below: **- CDBG funds for redevelopment of the urban revitalization area. May be received by 06-30-01.**

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Scattered Sites
1b. Development (project) number: OH2 - 14
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(01/05/00)</u>
5. Number of units affected: 14
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: January 1, 2001 b. Projected end date of activity: January 1, 2003

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- ☒ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: Vasu Manor 2-6 Norton Manor 2-8 Gutknecht Tower 2-9 Struthers Manor 2-11 Amedia Plaza 2-12 Lowellville Park 2-21
1b. Development (project) number: See above
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>

4. Date this designation approved, submitted, or planned for submission: <u>(30/09/96)</u>
5. If approved, will this designation constitute a (select one) N/A <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 637 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description NA

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- ☐ Units addressed in a pending or approved demolition application (date submitted or approved: _____)
- ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)
- ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)
- ☐ Requirements no longer applicable: vacancy rates are less than 10 percent
- ☐ Requirements no longer applicable: site now has less than 300 units
- ☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☒ Yes ☐ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: Scattered sites
1b. Development (project) number: OH2-20; OH2-22
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <u>(12-06-1997)</u>

5. Number of units affected: **30**

Note: All units involved were through acquisition or new construction, and not originally part of a development.

6. Coverage of action: (select one)

☒ Part of the development (?????)

☐ Total development

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program **NA**

☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
- ☐ 26 - 50 participants
- ☐ 51 to 100 participants
- ☐ more than 100 participants

b. PHA-established eligibility criteria

☐ Yes ☐ No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☒ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 12/05/99

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
- ☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☒ Jointly administer programs
- ☐ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program
- ☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- ☒ Public housing rent determination policies
- ☒ Public housing admissions policies
- ☒ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☒ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☒ Preference/eligibility for public housing homeownership option participation
- ☒ Preference/eligibility for section 8 homeownership option participation **if feasible**
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>EDSS</i>	<i>400</i>	<i>Per EDSS Grant</i>	<i>PHA Main Office</i>	<i>PH & community</i>
<i>Resident</i>	<i>15</i>	<i>Specific/Criteria</i>	<i>Development Office</i>	<i>PH & community</i>
<i>Employment Opportunity Program (Families)</i>			<i>Development Office</i>	<i>PH & Community</i>
<i>Midnight Basketball (Families)</i>	<i>65</i>	<i>Random Selection</i>	<i>Main Office</i>	<i>PH & Community</i>

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 03/13/00)
Public Housing Public Housing	18	18
Section 8	200	64

- b. ☒ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☒ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937
--

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☒ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☒ PHA employee reports
- ☒ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- ☐ Crime Prevention Through Environmental Design

- ☒ Activities targeted to at-risk youth, adults, or seniors
- ☒ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☒ Police regularly testify in and otherwise support eviction cases
- ☒ Police regularly meet with the PHA management and residents
- ☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below) **All families communities**

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☒ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☒ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- ☒ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: **OH002d01**)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☒ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? n/a
5. ☐ Yes ☒ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.
High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
☒ Not applicable
☐ Private management
☐ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)
3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- ☐ Attached at Attachment (File name)
- ☒ Provided below:
- Residents expressed that HOPE VI was a priority.
 - Residents cited the need for more residents to take advantage of current programs, youth activities, security needs, resident employment activities, and basic skills activities. These needs are being addressed in the PHDEP Program.
 - Residents cited the need for transportation to PHA activities.
3. In what manner did the PHA address those comments? (select all that apply)
- ☒ Considered comments, but determined that no changes to the PHA Plan were necessary.
- ☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:
- ☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process **N/A**

- a. Nomination of candidates for place on the ballot: (select all that apply)
- ☐ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☐ Other: (describe)

b. Eligible candidates: (select one)

- ☐ Any recipient of PHA assistance
- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **Mahoning County**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☐ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan.
 - **Assist small and large extreme in low-income families.**
- ☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

- The YMHA Public Housing Section 8, Capital Fund and PHDEP activities are supported as part of the Consolidate Plans strategy.

- The Consolidated Plans sites small and large renter householder (0-31% MFI) as a top priority and the YMHA as the primary way to meet this priority.
- The proposed YMHA HOPE VI Westlake Revitalization supported as economic development in the Consolidated Plan is a high priority.
- The Consolidated Plan sites the YMHA self-sufficiency programs as a strategy to meet the anti-poverty priority.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

☐ Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

**DECONCENTRATION AND INCOME TARGETING POLICY
FOR THE
YOUNGSTOWN METROPOLITAN HOUSING AUTHORITY
YOUNGSTOWN, OHIO**

DECONCENTRATION AND INCOME TARGETING POLICY
(of the Public Housing Admissions and Occupancy Policy)

Sub-Title A, Section 513 of the "Public Housing Act" of 1961, establishes two interrelated requirements for implementation by Public Housing Authorities: (1) Economic Deconcentration of public housing developments and (2) Income Targeting to assure that families in the "extremely low" income category are proportionately represented in public housing and that pockets of poverty are reduced or eliminated. Under the deconcentration requirement, PHAs are to implement a program which provides that families with lowest incomes will be offered units in housing developments where family incomes are the highest and high-income families will be offered units in developments where family incomes are the lowest. In order to implement these new requirements the PHA must promote these provisions as policies and revise their Admission and Occupancy policies and procedures to comply.

Therefore, the Youngstown Metropolitan Housing Authority (PHA) hereby affirms its commitment to implementation of the two requirements by adopting the following policies:

A. Economic Deconcentration:

Admission and Occupancy policies are revised to include the PHA's policy of promoting economic deconcentration of its housing developments by offering a program of income targeting. The program will provide that families with the lowest incomes will be offered units in housing developments where family incomes are the highest and high-income families will be offered units in developments where family incomes are the lowest. In order to implement these new requirements the PHA must promote these provisions as policies and revise their Admission and Occupancy policies and procedures to comply.

Implementation of this program will require the PHA to: (1) determine and compare the relative tenant incomes of each development and the incomes of families in the census tracts in which the developments are located, and (2) consider what policies, measures or incentives are necessary to bring high-income families into low-income developments (or into developments in low-income census tracts) and low-income families into high-income developments (or into developments in high-income census tracts).

In addition, an assessment of the average family income for each development is necessary. Families will be provided with an explanation of the policy during the application/screening process and/or the occupancy orientation sessions and given opportunities to discuss the options available to them. The families will also be informed that should they choose not to accept the first unit offered under this system, their refusal will not be cause to drop their name to the bottom of the list.

- Skipping families on the waiting list based on income;
- Establishing preferences for working families;
- Establish preferences for families in job training programs;
- Establish preferences for families in education or training programs;
- Marketing campaign geared toward targeting income groups for specific developments;
- Additional supportive services;
- Additional amenities for all units;
- Ceiling rents;
- Flat rents for developments and unit sizes;
- Different tenant rent percentages per development;
- Different tenant rent percentages per bedroom size;
- Saturday and evening office hours;
- Security Deposit waivers;
- Revised transfer policies;
- Site-based waiting lists;
- Mass Media advertising/Public service announcements; and
- Giveaways.

As public housing dwelling units become available for occupancy, responsible PHA employees will offer units to applicants on the waiting list. α□ ωϖϖ□□óω□ϖς
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 □□ϖ□□ς□E The offer of assistance will be made without discrimination because of
 race, color, religion, sex, national origin, age, handicap or familial status.

The PHA may employ a system of income ranges in order to maintain a public housing resident body composed of families with a range of incomes and rent paying abilities representative of the range of incomes among low-income families in the PHA's area of

operation, and will take into account the average rent that should be received to maintain financial solvency. The selection procedures are designed so that selection of new public housing residents will bring the actual distribution of rents closer to the projected distribution of rents.

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- For the initial year of implementation, a pro-rated percentage of the new admissions
 will be calculated from April 1, 1999 through the end of the fiscal year. Following
 the initial implementation period, the forty percent (40%) requirement will be
 calculated based on new admissions for the fiscal year.
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NOTICE

Although we have made our best effort to comply with regulations, laws, and Federal/local policies the Nelrod Company does not offer advice on legal matters or render legal opinions. We recommend that this policy be reviewed by the Housing Authority's general council and/or attorney prior to approval by the Board of Commissioners.

The Nelrod Company is not responsible for any changes made to these policies by any party other than the Nelrod Company.

Annual Statement /
Performance and Evaluation Report
Part I: Summary
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

H A Name

Comprehensive Grant Number

FFY of Grant Approval

YOUNGSTOWN METROPOLITAN HOUSING AUTHORITY

OH12P00270900

2000

☒ Original Annual Statement

☐ Reserved for Disasters/Emergencies

☐ Revised Annual Statement/Revision Number _____

☐ Performance and Evaluation Report for Program Year Ending _____

☐ Final Performance and Evaluation Report for Program Year Ending _____

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operating Expenses(may not exceed 10% of line 20)	198,029.00			
3	1408 Management Improvements	240,482.00			
4	1410 Administration	180,000.00			
5	1411 Audit	1,000.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	90,000.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	595,000.00			
10	1460 Dwelling Structures	2,305,646.00			
11	1465.1 Dwelling Equipment-Nonexpendable	50,000.00			
12	1470 Nondwelling Structures	0.00			
13	1475 Nondwelling Equipment	120,000.00			
14	1485 Demolition	0.00			
15	1490 Replacement Reserve	0.00			
16	1492 Moving to Work Demonstration	0.00			
17	1495.1 Relocation Costs	0.00			
18	1498 Mod Used for Development	0.00			
19	1502 Contingency (may not exceed 8% of line 20)	328,709.00			
20	Amount of Annual Grant (sums of lines 2-19)	4,108,866.00			
21	Amount of line 20 Related to LBP Activities	50,000.00			
22	Amount of Line 20 Related to Section 504 Compliance	0.00			
23	Amount of Line 20 Related to Security	180,000.00			
24	Amount of Line 20 Related to Energy Conservation Measures	0.00			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report

Signature of Executive Director and Date

Signature of Public Housing Director

X

X

Annual Statement/Performance
and Evaluation Report

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Development Number/Name	General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
OH 2-001 Westlake Terrace Homes	Site (landscaping, concrete, play area, drains, fencing, security lighting, tree)	1450	LOT	100,000.00				
	Security (crawl space doors, board-ups, cameras, etc.)	1450	LOT	50,000.00				
	Exterior-Roof (replace with shingles, canopies, frontage)	1460	LOT	273,000.00				
	Doors (repair/replace)	1460	LOT	100,000.00				
	HVAC (balance; upgrade; boiler plant)	1460	LOT	50,000.00				
	Interior (paint, electrical, cabinet repair, replace carpet, bathrooms)	1460	LOT	20,000.00				
	Exterior (porches, masonry, paint, etc.)	1460	LOT	100,000.00				
	OH12P002001 SUBTOTAL			693,000.00				
OH 2-005 P.L. Strait Homes (2-5E, 2-5F)	Site 2-5E (drains, concrete, playgrounds, landscaping clothes line poles)	1450	LOT	100,000.00				
	Site 2-5F (drains, concrete, playgrounds, landscaping clothes line poles)	1450	LOT	100,000.00				
	Security 2-5E (security system, additional site/ security lighting)	1450	LOT	30,000.00				
	Exterior 2-5E (roof replacement, gutters, doors, paint, etc.	1460	LOT	150,000.00				

1) To be completed for Performance and Evaluation Report or a Revised Annual Statement

2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office and Date

Annual Statement/Performance and Evaluation Report

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Development Number/Name	General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
OH2-005 cont'd	Interior 2-5E (paint and patch)	1460	LOT	100,000.00				
	Interior 2-5F (fixtures, paint, patch)	1460	LOT	50,000.00				
	Interior 2-5F (modernization, unit conversions paintng, etc.)	1460	LOT	100,000.00				
	OH12P002005 SUBTOTAL			630,000.00				
OH 2-006 VASU MANOR	Interior (cabinets, countertops, painting, floor coverings, lobby furniture, laundry, etc.)	1460	LOT	20,000.00				
	Plumbing (repairs)	1460	LOT	20,000.00				
	OH12P002006 SUBTOTAL			40,000.00				
OH 2-008 NORTON MANOR	Security (lighting, cameras, security systems)	1450	LOT	20,000.00				
	Site (landscaping, lighting, drains, etc.)	1450	LOT	25,000.00				
	Plumbing (repair/replace lines, shut off valves, drains, toilet and tub)	1460	LOT	40,000.00				
	HVAC (ventilation, air conditioning, etc.)	1460	LOT	50,000.00				
	Interior (tile, countertops, painting, floor coverings, lobby furniture, laundry facility)	1460	LOT	10,000.00				
	OH12P002008 SUBTOTAL			145,000.00				

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Signature of Executive Director and Date

Signature of Public Housing Director and Date

Annual Statement/Performance
and Evaluation Report

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

OH 2-009 Gutknecht Tower	Exterior (renovate porches, roofs, concrete repair)	1460	LOT	10,000.00				
	Elevator (upgrade)	1460	LOT	30,000.00				
	Interior (cabinets, countertops, painting, floor coverings, lobby furniture, laundry facility)	1460	LOT	50,000.00				
	OH12P002009 SUBTOTAL			90,000.00				
OH 2-011 Struthers Manor	Interior (lobby upgrade)	1460	LOT	5,000.00				
	OH12P002011 SUBTOTAL			5,000.00				
OH 2-012 C.A. Amedia Plaza	Comprehensive Modernization	1460	LOT	862,646.00				
	OH12P002012 SUBTOTAL			862,646.00				
OH 2-014 Scattered Sites Sub Rehab	Site improvements (landscaping, concrete, lighting, etc.)	1450	LOT	150,000.00				
	Lead based paint abatement	1460	LOT	50,000.00				
	Exterior (roofing/siding)	1460	LOT	120,000.00				
	Interior (heating, plumbing, electrical, paint, tile, kitchen)	1460	LOT	75,000.00				
	OH12P002014 SUBTOTAL			395,000.00				

1) To be completed for Performance and Evaluation Report or a Revised Annual Statement

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Signature of Executive Director and Date

Signature of Public Housing Director and Date

Development Number/Name	General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
HA-WIDE OPERATIONS	Operations	1406		198,029.00				
	OPERATIONS SUBTOTAL			198,029.00				
MANAGEMENT IMPROVEMENTS	Computers/software	1408	LOT	110,482.00				
	Resident Initiatives	1408	LOT	30,000.00				
	Training (management/employee)	1408	LOT	20,000.00				
	Security/Law Enforcement	1408	LOT	80,000.00				
	MANAGEMENT SUBTOTAL			240,482.00				
Nontechnical Salaries	Salaries and Fringes	1410.1	LOT	150,000.00				
	Cost Allocation Plan	1410	LOT	30,000.00				
	NONTECHNICAL SUBTOTAL			180,000.00				
CGP Audit Cost	Audit Costs	1411	LOT	1,000.00				
	CGP AUDIT COST SUBTOTAL			1,000.00				
Architectural & Engineering Fees	A&E Fees	1430.1	LOT	90,000.00				
	ARCHITECTURAL SUBTOTAL			90,000.00				
Site Improvements	Site Improvements - emergency site repairs	1450	LOT	20,000.00				
	SITE IMPROVEMENTS SUBTOTAL			20,000.00				

1) To be completed for Performance and Evaluation Report or a Revised Annual Statement

Signature of Executive Director and Date

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Signature of Public Housing Director and Date

Annual Statement/Performance
and Evaluation Report

Part II: Supporting Pages
Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Development Number/Name	General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
Dwelling Structures	Dwelling Structures - Emergency Repairs	1460	LOT	20,000.00				
	DWELLING STRUCTURES SUBTOTAL			20,000.00				
Dwelling Equipment Nonexpendable	Appliances (refrigerators, stoves)	1465.1	LOT	50,000.00				
	DWELLING EQUIPMENT SUBTOTAL			50,000.00				
Nondwelling Equipment - Furniture & Equip.	Office equipment	1475.1	LOT	30,000.00				
	NONDWELLING SUBTOTAL			30,000.00				
Nondwelling Equipment - Maintenance Equip.	Maintenance equipment (graffiti removers, mowers, etc.)	1475.2	LOT	40,000.00				
	NONDWELLING SUBTOTAL			40,000.00				
Nondwelling Equipment Automotive	Vehicles (trucks, tractors, cars, etc.)	1475.7	LOT	50,000.00				
	NONDWELLING SUBTOTAL			50,000.00				
CGP Contingency Account	Contingency Account	1502		328,709.00				
	CGP CONTINGENCY SUBTOTAL			328,709.00				
	HA-WIDE SUBTOTAL			1,248,220.00				
	GRAND TOTAL			4,108,866.00				

1) To be completed for Performance and Evaluation Report or a Revised Annual Statement

Signature of Executive Director and Date

2) To be completed for the Performance and Evaluation Report.

Signature of Public Housing Director and Date

Part III: Implementation Schedule
Comprehensive Grant Program (CGP)

Office of Public and Indian Housing

Development Number/Name	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
H/A-Wide Activities							
OH 2-001 Westlake Terrace	12/31/2001			06/30/2003			
OH 2-005 P.L. Strait Homes (2-5E & 2-5F)	12/31/2001			06/30/2003			
OH 2-006 Vasu Manor	12/31/2001			06/30/2003			
OH 2-008 Norton Manor	12/31/2001			06/30/2003			
OH 2-009 Gutknecht Tower	12/31/2001			06/30/2003			
OH2-011 Struthers Manor	12/31/2001			06/30/2003			
OH2-012 Amedia Plaza	12/31/2001			06/30/2003			
OH2-014 Scattered Sites/ Sub Rehab	12/31/2001			06/30/2003			
-----HA Wide-----							
Computers/software	12/31/2001			06/30/2003			
Office Equipment	12/31/2001			06/30/2003			
Vehicles	12/31/2001			06/30/2003			
Maintenance Equipment	12/31/2001			06/30/2003			
Appliances	12/31/2001			06/30/2003			
Audit Costs	12/31/2001			06/30/2003			
Resident Initiative	12/31/2001			06/30/2003			
Contingency	12/31/2001			06/30/2003			
Operations	12/31/2001			06/30/2003			
Training	12/31/2001			06/30/2003			
Security/Law Enforcement	12/31/2001			06/30/2003			
Emergency Site Improve.	12/31/2001			06/30/2003			
Emergency Dwell. Struct.	12/31/2001			#####			
1) To be completed for Performance and Evaluation Report or a Revised Annual Statement				2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date (mm/dd/yyyy)				Signature of Public Housing Director and Date (mm/dd/yyyy)			

Five Year Action Plan

Part II: Supporting Pages

Physical Need Work Statement(s)

Comprehensive Grant Program (CGP)

U.S. Department of Housing

and Urban Development

Office of Public and Indian Housing

Work Statement for Year 1 FFY: __00__	Work Statement for Year __2__ FFY: __01__			Work Statement for Year __2__ FF Y: __01__		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See	<u>OH 2-001 Westlake Terrace Homes</u>			<u>OH 2-008 Norton Manor</u>		
	Exterior - Roof (replace with shingles, canopies, frontage)	LOT	400,000.00	Interior (tile, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	80,000.00
				Subtotal		80,000.00
	Site (landscaping, concrete, play area, drains, fencing, security lighting, tree removal)	LOT	400,000.00	<u>OH 2-009 Gutknecht Tower</u>		
				Interior (tile, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	60,000.00
	Interior (paint, electrical, cabinet repair, replace capet, bathrooms)	LOT	50,000.00	Exterior (roofs/caulking)	LOT	40,000.00
	Subtotal		850,000.00			
	<u>OH 2-005 P.L. Strait Homes (2-5E, 2-5F)</u>			Site (property acquisition, parking, asphalt, etc.)	LOT	50,000.00
	Site 2-5E (drains, concrete, playgrounds, landscaping, clothes line poles)	LOT	200,000.00	HVAC (ventilation, air conditioning, etc.)	LOT	50,000.00
				Subtotal		150,000.00
Annual	Site 2-5F (drains, concrete, fencing, playground, landscaping, lighting, etc.)	LOT	150,000.00	<u>OH 2-011 Struthers Manor</u>		
				Site (landscaping, driveway repairs, patio area)	LOT	10,000.00
	Exterior 2-5E (roof replace., gutters, doors, paint,etc)	LOT	200,000.00	Exterior (roof repair)	LOT	15,000.00
	Interior 2-5F (fixtures, paint, patch)	LOT	200,000.00	HVAC (ventilation, air conditioning, etc.)	LOT	10,000.00
				Subtotal		35,000.00
	Exterior 2-5F (roofs, doors, screens, gutters, etc.)	LOT	175,000.00	<u>OH 2-014 Scattered Sites/Sub Rehab</u>		
	Subtotal		925,000.00	Site improvements (landscaping, concrete, lighting, etc.)	LOT	100,000.00
	<u>OH 2-006 Vasu Manor</u>			Exterior (roofing/siding)	LOT	100,000.00
	Interior (cabinets, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	20,000.00	Interior (heating, plumbing, electrical, paint, tile, kitchen)	LOT	100,000.00
Statement	Subtotal		20,000.00	Subtotal		300,000.00
	Subtotal of Estimated Cost		1,795,000.00	Subtotal		565,000.00

Five Year Action Plan

Part III: Supporting Pages
Management Needs

Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: __00__	Work Statement for Year __2__ FFY: __01__			Work Statement for Year __2__ FF Y: __01__		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	HA Wide					
	Office Equipment	LOT	30,000.00			
	Vehicles (trucks, tractors, cars)	LOT	50,000.00			
	Maintenance equipment (graffiti remover, mowers, etc.)	LOT	60,000.00			
	Appliances (refrigerators, stoves)	LOT	50,000.00			
		Subtotal	190,000.00			
	Site Improvements - Emergency site repairs	LOT	17,823.00			
	Dwelling Structures - Emergency repairs	LOT	17,823.00			
		Subtotal	35,646.00			
	Subtotal of Estimated Cost		225,646.00	Subtotal of Estimated Cost		

Five Year Action Plan

Part II: Supporting Pages

Physical Need Work Statement(s)

Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: 00	Work Statement for Year 3 FFY: 02			Work Statement for Year 3 FF Y: 02		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See	<u>OH 2-001 Westlake Terrace Homes</u>			<u>OH 2-008 Norton Manor</u>		
	Exterior-Roof (replace with shingles, canopies, frontage)	LOT	300,000.00	Interior (tile, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	100,000.00
	Interior (paint, electrical, cabinet repair, replace carpet, bathrooms)	LOT	20,000.00	Exterior (roofs, concrete, caulking)	LOT	50,000.00
	Plumbing (repair/replace)	LOT	200,000.00	Electrical (electrical repairs, fixtures)	LOT	20,000.00
		Subtotal	520,000.00		Subtotal	170,000.00
	<u>OH 2-004 Kirwan Homes</u>			<u>OH 2-009 Gutknecht Tower</u>		
	Interior (plumbing)	LOT	173,000.00	Interior (cabinets, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	100,000.00
		Subtotal	173,000.00			
	<u>OH 2-005 P.L. Strait Homes (2-5E, 2-5F)</u>					
	Site 2-5F (drains, concrete, fencing, playground, landscaping, lighting, etc.)	LOT	150,000.00	Exterior (roofs/caulking)	LOT	40,000.00
Annual					Subtotal	140,000.00
	Maintenance area 2-5E (floor replacement)	LOT	45,000.00	<u>OH 2-011 Struthers Manor</u>		
	Interior 2-5F (fixtures, paint, patch)	LOT	300,000.00	Interior (plumbing repairs, patching)	LOT	50,000.00
					Subtotal	50,000.00
	Exterior 2-5F (roofs, doors, screens, gutters, etc.)	LOT	250,000.00	<u>OH 2-014 Scattered Sites/Sub Rehab</u>		
	Recreation Center 2-5F	LOT	400,000.00	Site Improvements (landscapig, concrete, lighting, etc.)	LOT	100,000.00
		Subtotal	1,145,000.00	Exterior (roofing/siding)	LOT	182,126.00
	<u>OH 2-006 Vasu Manor</u>			Interior (heating, plumbing, electrical, paint, tile, kitchen)	LOT	100,000.00
	Exterior (curbs, concrete, roofs)	LOT	20,000.00		Subtotal	382,126.00
	Plumbing (repairs)	LOT	20,000.00	<u>OH 2-015 Scattered Sites</u>		
Statement	Windows (replace/repair)	LOT	20,000.00	Exterior (roofs, siding repair/replacement)	LOT	6,151.00
					Subtotal	6,151.00
				<u>OH 2-016 Scattered Sites</u>		
				Exterior (roofs, siding repair/replacement)	LOT	8,000.00
				Subtotal	8,000.00	
	Subtotal of Estimated Cost		1,898,000.00	Subtotal of Estimated Cost		756,277.00

Five Year Action Plan

Part II: Supporting Pages

Physical Need Work Statement(s)

Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: __00__	Work Statement for Year __3__ FFY: __02__			Work Statement for Year __3__ FF Y: __02__		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See	<u>OH 2-018 Scattered Sites</u>					
	Exterior (roofs, siding repair/replacement)	LOT	10,000.00			
		Subtotal	10,000.00			
	<u>HA Wide</u>					
	Office Equipment	LOT	30,000.00			
	Vehicles (trucks, tractors, cars)	LOT	50,000.00			
	Maintenance Equipment (graffiti remover, mowers, etc.)	LOT	60,000.00			
	Appliances (refrigerators, stoves)	LOT	50,000.00			
		Subtotal	190,000.00			
Annual	Site Improvements - Emergency site repairs	LOT	25,000.00			
	Dwelling Structures - Emergency repairs	LOT	25,000.00			
		Subtotal	50,000.00			
Statement						
	Subtotal of Estimated Cost		250,000.00	Subtotal of Estimated Cost		

Five Year Action Plan

Part II: Supporting Pages

Physical Need Work Statement(s)

Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: 00	Work Statement for Year 4 FFY: 03			Work Statement for Year 4 FF Y: 03		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See	<u>OH 2-001 Westlake Terrace Homes</u>			Windows (replace/repair)	LOT	20,000.00
	Exterior-Roof (replace with shingles, canopies, frontage)	LOT	300,000.00	Subtotal		60,000.00
	HVAC (balance, upgrade, boiler plant)	LOT	50,000.00	<u>OH 2-008 Norton Manor</u>		
	Interior (paint, electrical, cabinet repair, replace carpet, bathrooms)	LOT	20,000.00	Interior (tile, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	100,000.00
	Plumbing (repair/replace)	LOT	200,000.00	Exterior (roofs, concrete, caulking)	LOT	50,000.00
	Subtotal		570,000.00	Electrical (electrical repairs, fixtures)	LOT	20,000.00
	<u>OH 2-004 Kirwan Homes</u>			Subtotal		170,000.00
	Interior (plumbing)	LOT	200,000.00	<u>OH 2-009 Gutknecht Tower</u>		
	Subtotal		200,000.00	Interior (cabinets, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	100,000.00
	<u>OH 2-005 P.L. Strait Homes (2-5E, 2-5F)</u>					
Annual	Site 2-5F (drains, concrete, fencing, playground, landscaping, lighting, etc.)	LOT	150,000.00	Exterior (roofs/caulking)	LOT	40,000.00
				Subtotal		140,000.00
	Maintenance Area 2-5E (floor replacement)	LOT	30,000.00	<u>OH 2-011 Struthers Manor</u>		
	Interior 2-5F (fixtures, paint, patch)	LOT	300,000.00	Interior (plumbing repairs, patching)	LOT	45,000.00
	Exterior 2-5F (roofs, doors, screens, gutters, etc.)	LOT	250,000.00	Subtotal		45,000.00
	Recreation Center 2-5F	LOT	552,126.00	<u>OH 2-014 Scattered Sites/Sub Rehab</u>		
	Subtotal		1,282,126.00	Site Improvements (landscaping, concrete, lighting, etc.)	LOT	150,000.00
	<u>OH 2-006 Vasu Manor</u>			Exterior (roofing/siding)	LOT	150,000.00
	Exterior (curbs, concrete, roofs)	LOT	20,000.00	Interior (heating, plumbing, electrical, paint, tile, kitchen)	LOT	125,000.00
	Plumbing (repairs)	LOT	20,000.00	Subtotal		425,000.00
Statement				<u>OH 2-015 Scattered Sites</u>		
				Exterior (roofs, siding repair/replacement)	LOT	20,000.00
				Subtotal		20,000.00
	Subtotal of Estimated Cost			Subtotal of Estimated Cost		860,000.00

Comprehensive Grant Program (CGP)

Office of Public and Indian Housing

form HUD-52834 (10/96) ref Handbook 7485.3

Five Year Action Plan

Part II: Supporting Pages

Physical Need Work Statement(s)

Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: __00__	Work Statement for Year __ 5 __ FFY: __ 04 __			Work Statement for Year __ 5 __ FF Y: __ 04 __		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of	Quantity	Estimated Cost
See	<u>OH 2-001 Westlake Terrace Homes</u>			Windows (replace/repair)	LOT	20,000.00
	Exterior-roof (replace with shingles, canopies, frontage)	LOT	300,000.00	Subtotal		60,000.00
	HVAC (balance, upgrade, boiler plant)	LOT	50,000.00	<u>OH 2-008 Norton Manor</u>		
	Interior (paint, electrical, cabinet repair, replace carpet, bathrooms)	LOT	20,000.00	Interior (tiles, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	100,000.00
	Plumbing (repair/replace)	LOT	200,000.00	Exterior (roofs, concrete, caulking)	LOT	50,000.00
	Subtotal		570,000.00	Electrical (electrical repairs, fixtures)	LOT	20,000.00
	<u>OH 2-004 Kirwan Homes</u>			Subtotal		170,000.00
	Interior (plumbing)	LOT	200,000.00	<u>OH 2-009 Gutknecht Tower</u>		
	Subtotal		200,000.00	Interior (cabinets, countertops, painting, floor coverings, lobby furniture, laundry facilities)	LOT	100,000.00
	<u>OH 2-005 P.L. Strait Homes (2-5E, 2-5F)</u>			Exterior (roofs/caulking)	LOT	40,000.00
Annual	Site 2-5F (drains, concrete, fencing, playground, landscaping, lighting, etc.)	LOT	150,000.00	Subtotal		140,000.00
	Maintenance area 2-5E (floor replacement)	LOT	30,000.00	<u>OH 2-011 Struthers Manor</u>		
	Interior 2-5F (fixtures, paint, patch)	LOT	300,000.00	Interior (plumbing repairs, patching)	LOT	45,000.00
	Exterior 2-5F (roofs, doors, screens, gutters, etc.)	LOT	250,000.00	Subtotal		45,000.00
	Recreation Center 2-5F	LOT	552,126.00	<u>OH 2-014 Scattered Sites/Sub Rehab</u>		
	Subtotal		1,282,126.00	Site improvements (landscaping, concrete, lighting, etc.)	LOT	150,000.00
	<u>O(H 2-006 Vasu Manor</u>			Exterior (roofing/siding)	LOT	150,000.00
	Exterior (curbs, concrete, roofs)	LOT	20,000.00	Interior (heating, plumbing, electrical, paint, tile, kitchen)	LOT	125,000.00
	Plumbing (repairs)	LOT	20,000.00	Subtotal		425,000.00
				<u>OH 2-015 Scattered Sites</u>		
Statement				Exterior (roofs, siding repair/replacement)	LOT	20,000.00
				Subtotal		20,000.00
	Subtotal of Estimated Cost		2,052,126.00	Subtotal of Estimated Cost		860,000.00

Comprehensive Grant Program (CGP)

Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

form HUD-52834 (10/96) ref Handbook 7485.3

Part III: Supporting Pages

Management Needs

Comprehensive Grant Pro

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: __00__		Work Statement for Year __2__ FFY: __01__		Work Statement for Year __3__ FF Y: __02__			
		General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See	Computers/software	LOT	100,000.00	Computers/software	LOT	200,000.00	
	Resident Initiatives	LOT	60,000.00	Resident Initiatives	LOT	60,000.00	
	Training (management/employee)	LOT	20,000.00	Training (management/employee)	LOT	20,000.00	
	Security/Law Enforcement	LOT	80,000.00	Security/Law Enforcement	LOT	80,000.00	
Annual							
Statement							
Subtotal of Estimated Cost			260,000.00	Subtotal of Estimated Cost			360,000.00

Part III: Supporting Pages
Management Needs
Comprehensive Grant Pro

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Youngstown Metropolitan Housing Authority

Work Statement for Year 1 FFY: 00	Work Statement for Year 4 FFY: 03			Work Statement for Year 5 FF Y: 04		
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	Resident Initiatives	LOT	60,000.00	Resident Initiatives	LOT	60,000.00
	Training (management/employee)	LOT	20,000.00	Training (management/employee)	LOT	20,000.00
	Security/Law Enforcement	LOT	80,000.00	Security/Law Enforcement	LOT	80,000.00
	Subtotal of Estimated Cost		160,000.00	Subtotal of Estimated Cost		160,000.00

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$448,019

B. Eligibility type (Indicate with an "x") N1_____ N2_____ R x

C. FFY in which funding is requested 2000

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Youngstown Metropolitan Housing Authority (YMHA) will continue to address the problem of drug and criminal activity at targeted developments. Additional security and on-site activities and programs will: encourage resident involvement; foster individual community pride and awareness; provide alternatives to drug and criminal involvement; and increase safety at the developments by decreasing the incidents of criminal and drug-related activity.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Westlake Terrace	597	500
Brier Hill Homes	204	500
Victory Estates	150	350
Kimmel Brook Homes	175	160
Kirwan Homes	150	660
Amedia Plaza	191	80
Scattered Sites	100	360

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months_____ 12 Months_____ 18 Months_____ 24 Months x Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	\$515,939	OH12DEP0020195	0.00	GE	
FY 1996	\$524,180	OH12DEP0020196	0.00		
FY 1997	\$499,980	OH12DEP0020197	0.00		
FY1998	\$499,980	OH12DEP0020198	\$135,588		12-22-99
FY 1999	\$448,019	OH12DEP0020199	\$448,019		12-17-01

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The strategy to address crime and criminal activity in targeted public housing developments consist of a multi-component, multi-agency effort that incorporates security, economic development, and welfare-to-work initiatives and youth and adult substance abuse activities. The City of Youngstown Police Department has partnered with YMHA to provide services that are designed to reduce and/or eliminate violent crime, drug trafficking and drug related crime. Several community agencies partners provide a broad range of on-site drug prevention, intervention and treatment referral services that are designed to assist residents in improving their quality of life, eliminating welfare dependency and engaging in employment opportunities that will lead to self-sufficiency. Program evaluation will be conducted by an outside contracted provider and will encompass a process, outcome and impact design.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>2000</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	250,000
9120 – Security Personnel	50,000
9130 – Employment of Investigators	0
9140 – Voluntary Tenant Patrol	2,000
9150 – Physical Improvements	0
9160 – Drug Prevention	45,000
9170 – Drug Intervention	2,000
9180 – Drug Treatment	18,000
9190 – Other Program Costs	81,019

PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement					Total PHDEP Funding: \$250,000		
Goal(s)	To decrease by 3% overall drug and criminal activity in the targeted developments						
Objectives	To contract with the City of Youngstown to provide additional protective services, over and above those that are provided under the cooperative agreement, to reduce drug and criminal activity by 5% annually.						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount/ Source)	Performance Indicators
1.Additional Foot and Vehicle Patrols			10/00	4/01	\$250,000	\$55,000	Decrease in overall crime, drug-related arrest, vacancy rate, vandalism costs
2.							
3.							

9120 – Security Personnel					Total PHDEP Funding: \$50,000		
Goal(s)	To increase by 5% the perception of residents regarding safety and reduce the number of calls for service by 3%.						
Objectives	To contract with a company to provide guard and monitoring services at Amedia Plaza.						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1.Sign guest in and out and video surveillance			8/01	8/02	\$50,000		Security Logs, Resident Survey
2.							
3.							

9140 – Voluntary Tenant Patrol					Total PHDEP Funding: \$2,000		
Goal(s)	To increase the number of resident patrol groups by 10% and provide information available to site management and police concerning criminal activity at the development..						
Objectives	To establish, train and provide the necessary equipment and uniforms for resident volunteers to patrol their developments with walkie-talkies and report drug and criminal activity in an effort to reduce drug and criminal activity.						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1.Resident Patrol Training and Patrols	20	4246	1/01	12/01	\$2,000		Criminal activity decrease by 10%
2.							
3.							

9160 – Drug Prevention					Total PHDEP Funding: \$45,000		
Goal(s)	To reduce criminal activity by 3% and reduce welfare dependency of 20 families.						
Objectives	To provide a comprehensive drug prevention approach designed to address individual needs, relationship with family, peers and community to eliminate welfare dependency and reduce drug related crime.						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Resource Centers (5)	1500	2400	7/01	6/02	\$1,000		Increase in the number of residents seeking information, supportive service referrals; education sessions
2. Quarterly Newsletter and Monthly Educational Sessions for New Residents	300	2400	10/01	9/02	\$1,000		Increase in resident knowledge of lease regarding drug and criminal activity and other community services. Decrease in evictions
3. Training and Employment Opportunity	40	1600	6/01	12/01	\$10,000		Increase in number of referrals and increase by obtaining employment
4. After School Tutorial Program	50	700	12/01	12/02	\$25,000		Increase by 10% number passing proficiency exam and improved grades
5. After School PANDA Program	50	700	9/01	8/02	\$2,000		Increase in knowledge by 10%
7. After School YELL Summer Program	35	700	6/01	8/01	\$6,000		Improved skills in water safety; increased awareness of cultural / educational events and drug and teen pregnancy prevention

							activities
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9170 - Drug Intervention					Total PHDEP Funding: \$2,000		
Goal(s)	To provide a minimum of 10 referrals for substance abuse services as an alternative to eviction.						
Objectives	To contract with a substance abuse provider to conduct training for housing managers and other key staff to increase knowledge in order to recognize and refer candidates for substance abuse						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1Training sessions.	30	1075	7/01	6/02	2,000		10% increase in knowledge
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$18,000		
Goal(s)	To increase by 5% the number referred for substance abuse treatment.						
Objectives	To contract with a service provider to coordinate activities to increase the number of residents referred for accessible treatment.						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Coordinate and provide on-site assessments, support groups, educational sessions and referrals; Resident Advocate training	55	2400	7/01	6/02	18,000		Increase by 5%, the number of residents referred to treatment, number attending educational sessions and support groups
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$81,019		
Goal(s)	To conduct program activities according to program goals and objectives in a timely manner.						
Objectives	To provide the necessary staff, training and consultant to conduct and monitor program activiites and expenditures.						
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Personnel			10/01	9/02	63,280		Evaluations
2.Fringe Benefits			10/01	9/02	12,029		Evaluations
3.Evaluation Consultant			10/01	9/02	9,500		Reports
4.Travel			10/01	9/02	1,000		Documentation
5.Workshops/Seminars			10/01	9/02	1,000		Reports

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	<i>Activity 1</i>	<i>250,000</i>		<i>250,000</i>
9120	<i>Activity 1</i>	<i>8,000</i>	<i>Activity 1</i>	<i>50,000</i>
9130				
9140	<i>Activity 1</i>	<i>1,000</i>	<i>Activity 1</i>	<i>2,000</i>
9150				
9160	<i>Activities 1,3,4,6</i>	<i>9,000</i>	<i>Activities 3,5</i>	<i>45,000</i>
9170			<i>Activity 1</i>	<i>2,000</i>
9180	<i>Activity 1</i>	<i>3,000</i>	<i>Activity 1</i>	<i>18,000</i>
9190			<i>Activities 1,2,3</i>	<i>80,019</i>
TOTAL		<i>\$271,000</i>		<i>\$448,019</i>

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Youngstown Metropolitan Housing Authority

Substantial Deviation - Significant Amendment or Modification

Until final issuance of HUD Guidelines, the following statement is pursuant to 24 CFR, Part 903, Public Housing Agency Plans, Final Notice, Section 903.7, (r) Additional information to be provided. The criteria for “a substantial deviation from the 5-Year Plan” and “a significant amendment or modification to the 5 Year Plan and Annual Plan” includes but is not limited to the following:

- Any change to the Mission Statement;
- 50% deletion from or addition to the goals and objectives as a whole;
- 50% or more decrease in the quantifiable measurement of any individual goal or objective;
- 50% variance in the funds projected in the Capital Fund Program Annual Statement or 5-Year Action Plan;
- Any increase or decrease over 50% in the funds projected in the Financial Resource Statement and/or the Capital Fund Program Annual Statement;
- Any change in a policy or procedure that requires a regulatory 30-day posting;
- Any submission to HUD that requires a separate notification to residents, such as Hope IV, Public Housing Conversion, Demolition/Disposition, Designated Housing or Homeownership programs; and
- Any change inconsistent with the local, approved Consolidated Plan.